



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT

In re patent application of: Morton

Att. Docket No.: 2226-001

Serial No.: 10/757,491

Examiner:

Filed: January 15, 2004

Art Unit:

For: **AMMUNITION HAVING SURFACE INDICIA AND METHOD OF MANUFACTURE**

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner of Patents
P.O. Box 1450
Alexandria, VA 22313-1450
SIR:

SIR:

Submitted herewith are several references which have come to the applicant's attention and which may be deemed relevant to the above-identified application, together with form PTO/SB/08A listing the references.

It is requested that these references be made of record in the present application.

Date: March 16/04

Respectfully submitted,

Sean W. Goodwin
Registration No. 39, 568

Goodwin McKay
Suite 360
237-8th Avenue S.E.
Calgary, Alberta, T2G 5C3
Canada
(403) 203-0107



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE PATENT

In re patent application of: Morton

Att. Docket No.: 2226-001

Serial No.: 10/757,491

Examiner:

Filed: January 15, 2004

Art Unit:

For: **AMMUNITION HAVING SURFACE INDICIA AND METHOD OF MANUFACTURE**

INFORMATION DISCLOSURE STATEMENT TRANSMITTAL LETTER

Assistant Commissioner of Patents
P.O. Box 1450
Alexandria, VA 22313-1450
SIR:

An Information Disclosure Statement is submitted herewith pursuant to 37 C.F.R. 1.97 – 1.98.
Please note the following particulars:

[NOTE: One only of items a, b, c, and d must be checked.]

- ☒ [X] a. The enclosed information disclosure statement is being filed within three months of the filing date of a national application, or within three months of the date of entry into the national stage as set forth in 37 C.F.R. 1.491 in an international application, or before the mailing date of a first Office Action on the merits, whichever event occurs last. (37 CFR 1.97 (b))
- [] b. The enclosed information disclosure statement is being filed after a first action on the merits but before the mailing date of a final action under 37 C.F.R. 1.113, or a notice of allowance under 37 C.F.R. 1.311. (37 CFR 1.97 (c))

The enclosed information disclosure statement is accompanied by [check one]:

- [] i. A statement in part (e) below as specified in 37 C.F.R. 1.97(e), or
[] ii. A check in the amount of \$240.00 required by 37 C.F.R. 1.17(p).

- [] c. The enclosed information disclosure statement is being filed after the mailing date of a final action under 37 C.F.R. 1.113, or a notice of allowance under 37 C.F.R. 1.311, but before payment of the issue fee. (37 CFR 1.97 (d))

PETITION: It is requested that the information being submitted be considered. (NOTE: If box (c) is checked, the following two boxes must be checked.)

- [] **PETITION FEE:** a check for \$130.00 required by 37 CFR 1.17(i)(I), is enclosed,
[] **STATEMENT** is attended to in box (e) below.

- [] d. The enclosed information disclosure statement is being filed pursuant to 37 C.F.R. 1.97(i), for placement in the file.

☐ e. **Statement** [Check one] [Statement is required only if box (b)(1) or box (c) is checked.]

☐ I hereby state that each item of information contained in the enclosed Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this information disclosure statement.

or

☐ I hereby state that no item of information in the enclosed Information Disclosure Statement herewith was cited in a communication from a foreign patent office in a counterpart foreign application, or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. 1.5(c) more than three months prior to the filing of this Information Disclosure Statement.

or

☐ Appropriate statement is attached.

Date:

March 16/04

Respectfully Submitted,

Sean W. Goodwin

By: Sean W. Goodwin
Registration No. 39,568



INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Application No.: 10/757,491
Filing Date: January 15, 2004
First Named Inventor: Bill Morton
Group Art Unit:
Examiner Name:
Attorney Docket No.: 2226-001

Sheet 1 of 2

U.S. PATENT DOCUMENTS

Initial	Document No.	Name	Date	Relevance
	✓ 4384518	Albin	May 24, 1983	
	✓ 5121693	Kosteck	June 16, 1992	
	✓ 5147495	Douglas	September 15, 1992	
	✓ 5296081	Morin et al.	March 22, 1994	
	✓ 5521655	Rhoad	May 28, 1996	
	✓ 5831641	Carlson	November 3, 1998	
	✓ 5893016	Landa et al	April 6, 1999	
	✓ 5962368	Poole	October 5, 1999	
	✓ 6098689	Fiwek	August 8, 2000	

FOREIGN PATENT DOCUMENTS

Initial	Office	Number	Name	Date	Relevance	Trans

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS

Initial	Name (in CAPS), Title of Article, Title of Item, Date, Page(s), Volume-Issue No., etc.	Trans

Examiner Signature

Date Considered

* Examiner: Initial if considered, whether or not citation is in conformance with MPEP §609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.



INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Sheet 2 of 2

Application No.: 10/757,491
Filing Date: January 15, 2004
First Named Inventor: Bill Morton
Group Art Unit:
Examiner Name:
Attorney Docket No.: 2226-001

U.S. PATENT DOCUMENTS

Initial	Document No.	Name	Date	Relevance
✓	6293204	Regen	September 25, 2001	
✓	6526880	Coningsby	March 4, 2003	
✓	6538767	Over et al.	March 25, 2003	
✓	6550389	Goto et al.	April 22, 2003	
✓	6570600	Aroneo et al.	May 27, 2003	
✓	6578276	Patton et al.	June 17, 2003	
✓	6582047	Koitaishi et al	June 24, 2003	
✓	6584894	Mason	July 1, 2003	
	6672219	Mackerell et al	January 6, 2004	

FOREIGN PATENT DOCUMENTS

Initial	Office	Number	Name	Date	Relevance	Trans

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS

Initial	Name (in CAPS), Title of Article, Title of Item, Date, Page(s), Volume-Issue No., etc.	Trans

Examiner Signature

Date Considered

* Examiner: Initial if considered, whether or not citation is in conformance with MPEP §609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.